

Grants/Alternative Funding

Partnerships in NRM PROSPECT Course



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Grant Authorities

- Authority – 33 USC 2325 and 33 USC 2328, Water Resources Development Act of 1992
- ER/EP 1130-2-500, Ch. 11 – The Contribution Program provides the means and processes on how to accept donations plus administer any funds the Corps might receive directly or indirectly from a grant.

****Grants are considered to be Contributions, not an augmentation of appropriated funds.**



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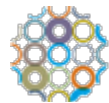


Who Gives Grants?

- Federal, State, Local Governments



- Foundations



FORDFOUNDATION

- Typical
- Family
- Community
- Commercial
- Congressionally chartered

(Foundations gave more than \$60 billion last year)



Bristol-Myers Squibb



Walmart Giving in
Last Fiscal Year Exceeds
\$1 Billion for the First Time



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Who Gives Grants?

- Corporations
 - Directly from the company (Wells Fargo, WalMart, Bank of America)
 - From the company's non-profit foundation (Wells Fargo Foundation, WalMart Foundation, Bank of America Charitable Foundation)
 - Top 50 foundations gave \$19.8 billion last year.
- Community organizations- Lion's Clubs, Rotary, etc.
- Individuals



Grants

- Few Federal grants directly available to the Corps
 - DOT and Federal Highways funds (Federal Lands Transportation Program, Access Program, Emergency Relief, Transportation Alternatives, TIGER, INFRA)
 - US Forest Service Pest Suppression program grants
 - Reservoir Fisheries Habitat Partnership

- Some State grants are directly available to Corps, but often require matching funds up front. (State boating and waterway grants)

- Many more grants available to friends groups/cooperating associations (Ex. Every Kid in a Park grants to Corps Foundation)

- Corps Foundation provided state and national lists of potential grants and is available to help with seeking grants



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Federal Lands Highways Programs

- Federal Lands Transportation Program
 - Maintain good repair, improve safety, reduce bridge deficiencies, provide access to high use recreation federal economic generators
 - Eligible agencies include NPS, FWS, FS, USACE, BLM, BOR, and “Independent Land Management Agencies”
 - USACE coordinates through MSC Recreation BLM for priority improvements to be considered for funding
- Federal Lands Access Grants (<https://flh.fhwa.dot.gov/programs/flap/>)
 - State/County owned/maintained transportation assets that provide access to or are on Federal lands (local gov parks on USACE land may be eligible)
 - Statement/endorsement from benefiting federal agency required
 - \$250 million, 80% to 12 Western States, Local match requirement
 - Decisions made by state committee
- Emergency Relief for Federally Owned Roads (<https://flh.fhwa.dot.gov/programs/erfo/>)
 - Repair to previous condition, roads damaged by natural disaster
 - Intent letter required within 45 days of the event



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Federal Lands Transportation Program

- POCs:
 - Roads (Ops, Recreation, Meredith Bridgers)
 - Bridges (C&E, Bridge Safety, Chris Westbrook)
- Program Management
 - Some funding provided for inventory, data collection, road condition, bridge inventory inspection, asset management, transportation planning.
 - Federal Agencies compete for funds and provide a 4-5 year list of projects that are prioritized based on the goals stated in the legislation and agency resource management goals.
 - Average of \$5 million annually for program administration, improvement projects, construction, environmental mitigation, transit service split between road and bridge programs.
- How do I get my road or bridge on the list?
 - Road Project selection centrally managed out of HQ Ops. **Projects are elevated to HQ via the MSC Rec BLMs.**
 - Bridge Project selection centrally managed out of HQ E&C. **Work with Dist Bridge Safety PCO to elevate to HQ.**



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FLTP - USACE Implementation

- Road/parking improvements at highly visited recreation areas to address backlog maintenance where condition negatively impacts public access and use of areas.
 - ▶ Road/parking projects not generally competitive in USACE budget process.
- Bridge program work for compliance with NBIS and FHWA Guidelines. FLTP allows improvements to bridges at CW projects that support public safety, recreation access and regional economies.

Year	Planning	Program	Total
FY13	\$ 2,000,000	\$ 3,209,040	\$ 5,209,040
FY14	\$ 2,000,000	\$ 5,875,407	\$ 7,875,407
FY15	\$ 2,350,000	\$ 6,210,000	\$ 8,560,000
FY16	\$ 2,350,000	\$ 7,008,035	\$ 9,358,035
FY17	\$ 2,323,000	\$ 6,381,927	\$ 8,704,927
Total	\$11,023,000	\$ 22,302,482	\$ 39,707,409

*Example of Improvements - SAD



Old Federal Campground, Lake Sidney Lanier

Root removal, subsurface reconstruction in places, milling, and overlay
2.4 miles of roadway



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Example of Improvements - MVD



West Overlook Day Use, Coralville Lake

Full depth patch of significant cracks, 2" overlay, shouldering topsoil and striping of roads and parking lots.

1.5 miles of roadway, 134,000 sq ft parking surface



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Nationally Significant Federal Lands

- The goal of the Federal Lands Access Program (Access Program or FLAP) is to improve transportation facilities that provide access to, are adjacent to, or are located within Federal lands.
 - ▶ Funded \$300 million in FY18 Omnibus Bill
 - ▶ Application process under development
 - ▶ Ideal for major work on roads on the dam or bridges that cross reservoir, with inclusion of locally maintained portions on either side. Not suitable for park roads.

- Criteria
 - ▶ Construction only, continuous segment, \$25M min, 10% match
 - ▶ Application process under development
 - ▶ Legislative priorities includes:
 - On or eligible for national register
 - Serving multiple agencies or state
 - Innovative approaches for efficiency
 - Critical transportation assets
 - Source of matched funds, match in excess of 10%



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Federal Lands Access Program

- The goal of the Federal Lands Access Program (Access Program or FLAP) is to improve transportation facilities that provide access to, are adjacent to, or are located within Federal lands.
 - ▶ Federal Lands Access Transportation Facility (FLATF)
- The Access Program supplements State and local resources in 50 States, DC and Puerto Rico
- Compliments other Federal Programs
 - ▶ Defense Access Roads
 - ▶ Forest Development Roads and Trails
 - ▶ Federal Lands Transportation Program
- State or Local Governments are eligible applicants
- 20% match required, can be in-kind

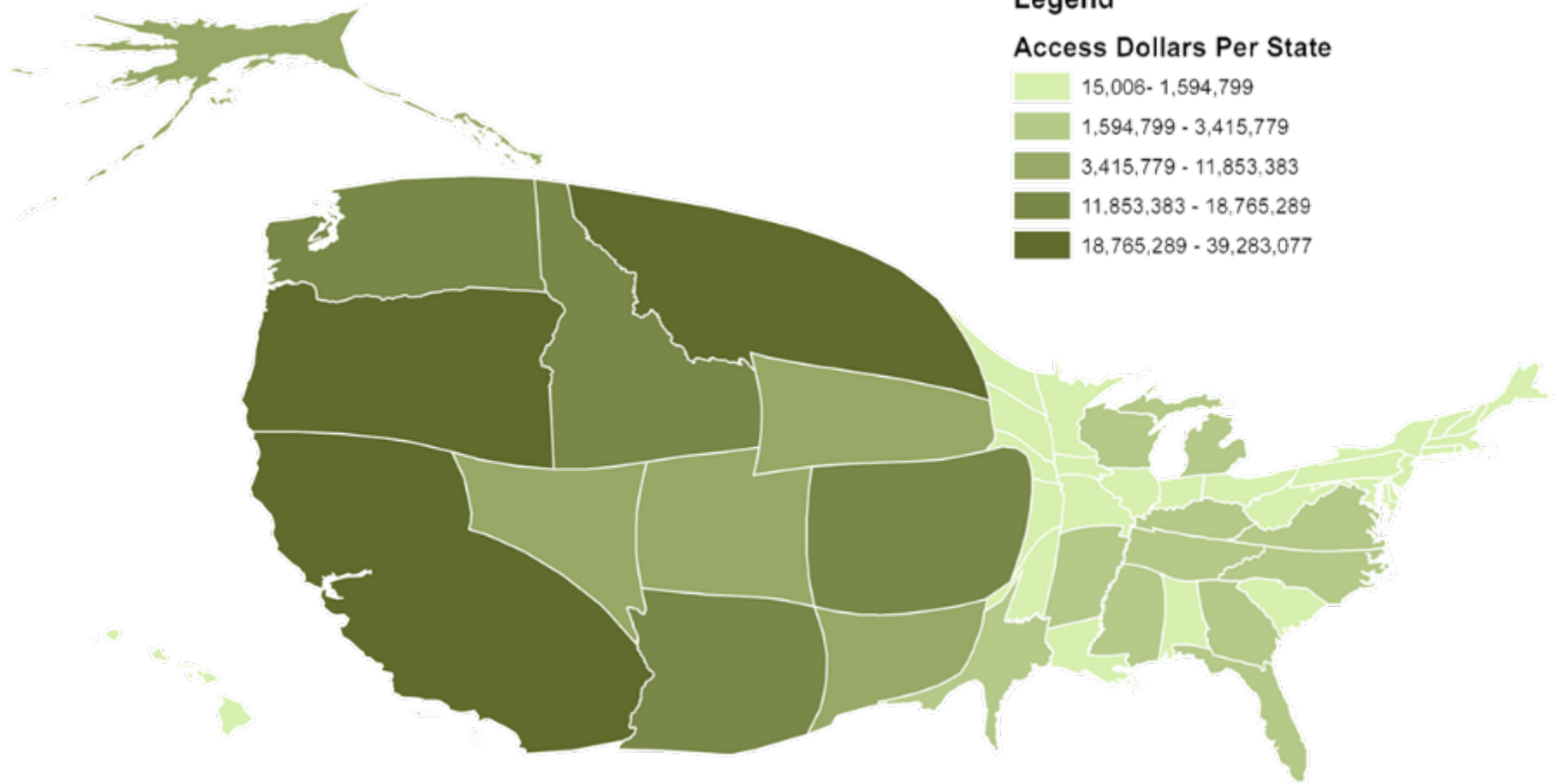
<https://flh.fhwa.dot.gov/programs/flap/>



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Access Program Dollars by State



Sources:
State Shapefile: US Census
Access Dollars: FLHD, Access Program Funding Table Dated 10/26/2012

Puerto Rico is not represented, but will receive around \$78,000 per year in Access Funds



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Transportation Alternatives Program

- Set-Aside from the Surface Transportation Block Grant program
 - Annual amount augments under FAST Act to \$850 M in FY20
- Eligible projects include:
 - On- and off-road pedestrian and bicycle facilities, includes rail-trails
 - Turnouts, overlooks, and viewing areas
 - Preservation of historic transportation facilities
 - Vegetation management (safety, control invasive species and erosion)
 - Environmental mitigation related to stormwater and maintain habitat connectivity
 - Recreational trail projects
 - Safe routes to school projects
- Funds provided to States and large metro areas by formula.
- DOT encourages project sponsors to use qualified youth service and conservation corps.



Transportation Alternatives... Cont.

- Applicant: Projects must be submitted by “eligible entities”
 - Federal or state transportation or natural resource management agencies are eligible
 - Can submit in collaboration with other entities
 - Each State and large MPO has its own competitive project selection process.
 - On state DOT website, search “Transportation Alternatives” for information and application cycles.
- Match: can vary based on project, typically 20%
- Transportation Alternatives Program
 - ▶ https://www.fhwa.dot.gov/environment/transportation_alternatives/
 - ▶ <http://trade.railstotrails.org/index>
- Recreational Trails Program is a separate process, see State contacts at www.fhwa.dot.gov/environment/recreational_trails/



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FHWA TAP \$\$

NWP: Confluence Project- Federal Transportation grant of \$3.5 million for parking lot redesign and an additional \$800K to fix safety concerns near entrance over the railroad tracks.



Emergency Relief for Federally Owned Roads

- Established to assist federal agencies with the repair or reconstruction of public federal lands transportation facilities, and other federally owned public roads, which are found to have suffered serious damage by a natural disaster over a wide area or by a catastrophic failure.
 - Is not intended to cover all repair costs, nor upgrade facilities.... restore to pre-disaster conditions.
 - Intent notification required step (within 45 days of event)
 - Emergency repairs completed within 180 days (100% DOT funds) or permanent repairs (100% DOT funds)
 - Actual funding provided or reimbursed based on eligibility and annual ERFO fund request process (can involve congressional authorizations)



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Emergency Relief for Federally Owned Roads... *Continued*

- There is a complimentary program for states (Federal-aid highway Emergency Relief (ER) Program).
 - Some federal roads are also Federal-Aid highways, need to coordinate with State regarding which program to use
- Funding Thresholds
 - \$700,000 per event
 - \$5,000 per site repaired
- Online training available
 - <https://flh.fhwa.dot.gov/programs/erfo/training/>
 - ERFO staff also willing to offer regional training sessions in person (pending minimum class sizes)

<https://flh.fhwa.dot.gov/programs/erfo/>



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Other DOT Grant Programs

- TIGER
 - ▶ Innovative projects, including multi-modal and multi-jurisdictional projects, which are difficult to fund through traditional federal programs
 - Highway/bridge projects, bike/ped projects, public transportation, port infrastructure investments, intermodal projects, etc
 - ▶ Applicant – State or local governments
 - ▶ Match: 80% (DOT) /20% (match)
 - ▶ Last project call was Feb 2016, Funding included in the FY18 Omnibus bill with additional funding to rural projects.

<https://www.transportation.gov/tiger>



Other DOT Grant Programs

- INFRA (formerly FASTLANE)
 - ▶ Criteria related to national and regional economics, innovative solutions to safety and project delivery, and leverage of federal funding
 - Highway/bridge projects, bike/ped projects, public transportation, port infrastructure investments, intermodal projects, etc
 - ▶ Applicant – State or local governments, or FLMA applying jointly with state(s)
 - ▶ Match: 60% (DOT) /40% (match)
 - ▶ Last project call was Nov 2017, future calls unsure

<https://www.transportation.gov/buildamerica/infragrants>



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Other DOT Grant Programs

- Highway Safety Improvement Program
 - Funding to help achieve a significant reduction in traffic fatalities and serious injuries on all public roads, including non-State-owned roads and roads on tribal lands
 - <https://www.fhwa.dot.gov/fastact/factsheets/hsipfs.cfm>
 - FAST Act funding: set aside of \$2.226B - \$2.407B annually; suballocation by state
- ▶ Applicant – Coordinate with State to have project listed on State's Strategic Highway Safety Plan (SHSP) projects listed on State Highway Safety Improvement Plan
- ▶ Match: 90-100% (DOT), varies by activity
- ▶ <https://safety.fhwa.dot.gov/hsip/>



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USFS Pest Suppression Grants

- 100% funding from USFS for prevention or suppression of:
 - Bark beetle
 - Gypsy moth
 - Emerald ash borer
 - Asian long horn beetle
 - Defoliators and other insects
 - Dwarf mistletoe
 - Oak wilt
 - Other disease
- Request for proposals sent out in September
- Proposals due in November
- Recommend coordinating with your local Regional USFS office
- Proposals focused on suppression/eradication typically score higher
- Range of past funding \$1,000 - \$40,000



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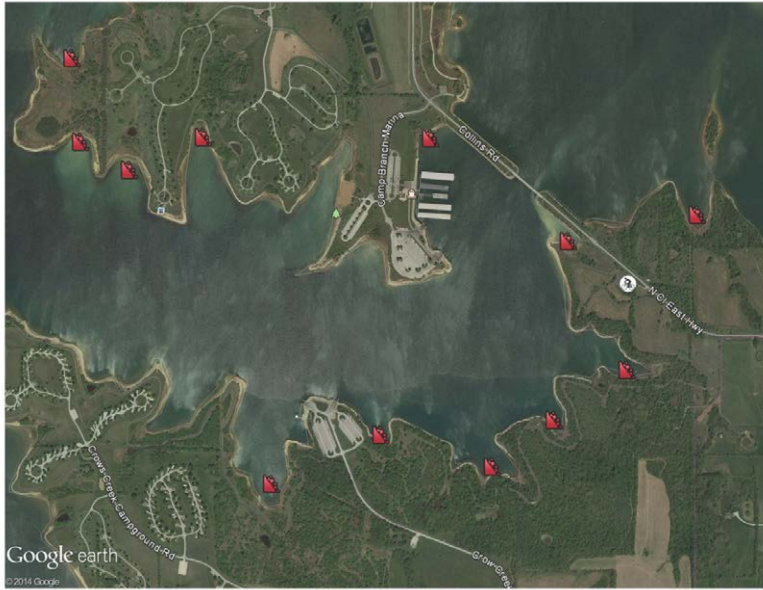
Reservoir Fisheries Habitat Partnership

- National partnership to promote and facilitate the conservation of habitat for fish and other aquatic species in reservoir systems
- Partial funding of reservoir fisheries habitat enhancement projects
- Must have a minimum of 1:1 non-federal contributions
- Request for proposals sent in June
- Proposals due in September
- Proposals must include “on-the-ground” habitat restoration objectives. Research proposals are not acceptable.
- Project must be completed in 18-24 months
- Proposals typically funded \$10,000 - \$20,000 range
- Criteria:
 - Aquatic Habitat Restoration/Protection
 - Quality of Life
 - Partnerships/Fund leveraging/Promotion



RFHP Grant Received by the Corps

NWK: Smithville Lake Reservoir Fisheries Habitat Partnership grant of \$20,000 for enhanced aquatic habitat, shoreline stabilization, jetty repair



RFHP Grant Received by the Corps

MVS: Rend Lake Reservoir Fisheries Habitat Partnership grant of \$10,000 for enhanced fisheries habitat



Grants Related to Natural Resources

- Boat US Foundation Grassroots Grants
- Cabela's Outdoor Fund Grants
- National Fish and Wildlife Foundation Grants
- National Recreation and Park Association ACHIEVE Grants
- National Water Safety Congress Grass Roots Grants
- NEEF Every Day Grants
- North Face Explore Fund Grants
- Recreational Boating and Fishing Foundation Grants



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Grantmaker Name	Contact	Street Address 1	City	State	ZIP Code	Telephone	Fax
3M Foundation	Cynthia F. Kleven, Secy.	3M Ctr., Bldg., 225-01-S-23	St. Paul	MN	55144-1000	(651) 733-0144	(651) 733-0144
Alcoa Foundation		Alcoa Corporate Ctr.	Pittsburgh	PA	15212-5858	(412) 553-2348	
Allstate Foundation, The	Jan Epstein, Exec. Dir.	2775 Sanders Rd., Ste. F4	Northbrook	IL	60062-6127	(847) 402-5502	(847) 321-1111
American Conservation Association, Inc.	Carmen Reyes, Treas.	6 W. 48th St., 10th Fl.	New York	NY	10036-1802		
American Express Foundation		World Financial Ctr.	New York	NY	10285-4804		
American Honda Foundation		1919 Torrance Blvd., M.S. 100-1W-5A	Torrance	CA	90501-2746	(310) 781-4090	(310) 781-4090
Amgen Foundation, Inc.	Eduardo Cetlin, Sr. Mgr., Corp. Contribs	1 Amgen Center Dr., M.S. 28-1-B	Thousand Oaks	CA	91320-1799	(805) 447-4056	(805) 371-1111
Andersen Foundation, Fred C. and Katherine B.	Mary Gillstrom, V.P., Secy. and Dir.	P.O. Box 80	Bayport	MN	55003		
Annenberg Foundation	Leonard Aube, Exec. Dir.	2000 Ave. of the Stars, Ste. 1000	Los Angeles	CA	90067-4704	(310) 209-4560	(310) 209-4560
Bank of America Charitable Foundation, Inc., The		401 N. Tryon St., NC1-021-02-20	Charlotte	NC	28255-0001	(800) 218-9946	
BBVA Compass Foundation	Reymundo Ocanas, V.P. and Exec. Dir.	P.O. Box 10566, M.C. AL/BI/CH/ACT	Birmingham	AL	35296-0002	(205) 297-3464	
Belo Foundation, The	Amy M. Meadows, V.P., Secy., and Exec. Dir.	400 South Record St.	Dallas	TX	75202-4841	(214) 977-6661	(214) 977-6661
Ben & Jerry's Foundation, Inc.	Lisa Pendolino, Managing Dir.	30 Community Dr.	South Burlington	VT	05403-6828	(802) 846-1500	
Bingham Foundation, William, The	Laura H. Gilbertson, Chief Admin.	1111 Superior Ave., Ste. 700	Cleveland	OH	44114-2540	(216) 344-5200	
Blue Moon Fund, Inc.		222 W. South St.	Charlottesville	VA	22902	(434) 295-5160	(434) 295-5160
BNSF Railway Foundation	Deanna Dugas, Mgr., BNSF Railway Fdn.	2650 Lou Menk Dr.	Fort Worth	TX	76131-2830	(817) 867-6458	(817) 351-1111
Caesars Foundation	Judi Brown, Admin.	1 Caesars Palace Dr.	Las Vegas	NV	89109-8969	(702) 880-4728	(702) 400-1111
Cardinal Health Foundation	Dianne Radigan, Dir., Community Rel.	7000 Cardinal Pl.	Dublin	OH	43017-1001	(614) 757-7481	



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Grants Received by the Corps

- LRL: Nolin River Lake- National Water Safety Congress grant winner
- MVK: Lake Ouachita and DeGray Lake - \$10,000 grant from ALCOA to control hydrilla via Pakistani fly propagation



- MVS: Riverlands Project - \$100,000 grant from Missouri DNR Recreational Trails Program to improve an existing trail
- SPK: Englebright Lake- Grant from Michael & Ina Korek Foundation Trust for safe boating campaign



Grants Received by the Corps



- LRH: Paint Creek Lake- \$6,000 Ohio Department of Natural Resources Division of Watercraft Cooperative Boating Facility Grant to construct river access points
- NAB: Raystown Lake- \$854,450 Transit in Parks grant for non-motorized transportation pathway
- **SPN: Lake Sonoma-** \$78,000 for an Interpretive and Education Master Plan; \$182,000 grant for Stream Restoration Demo Project; \$52,000 grant for trout interpretive murals



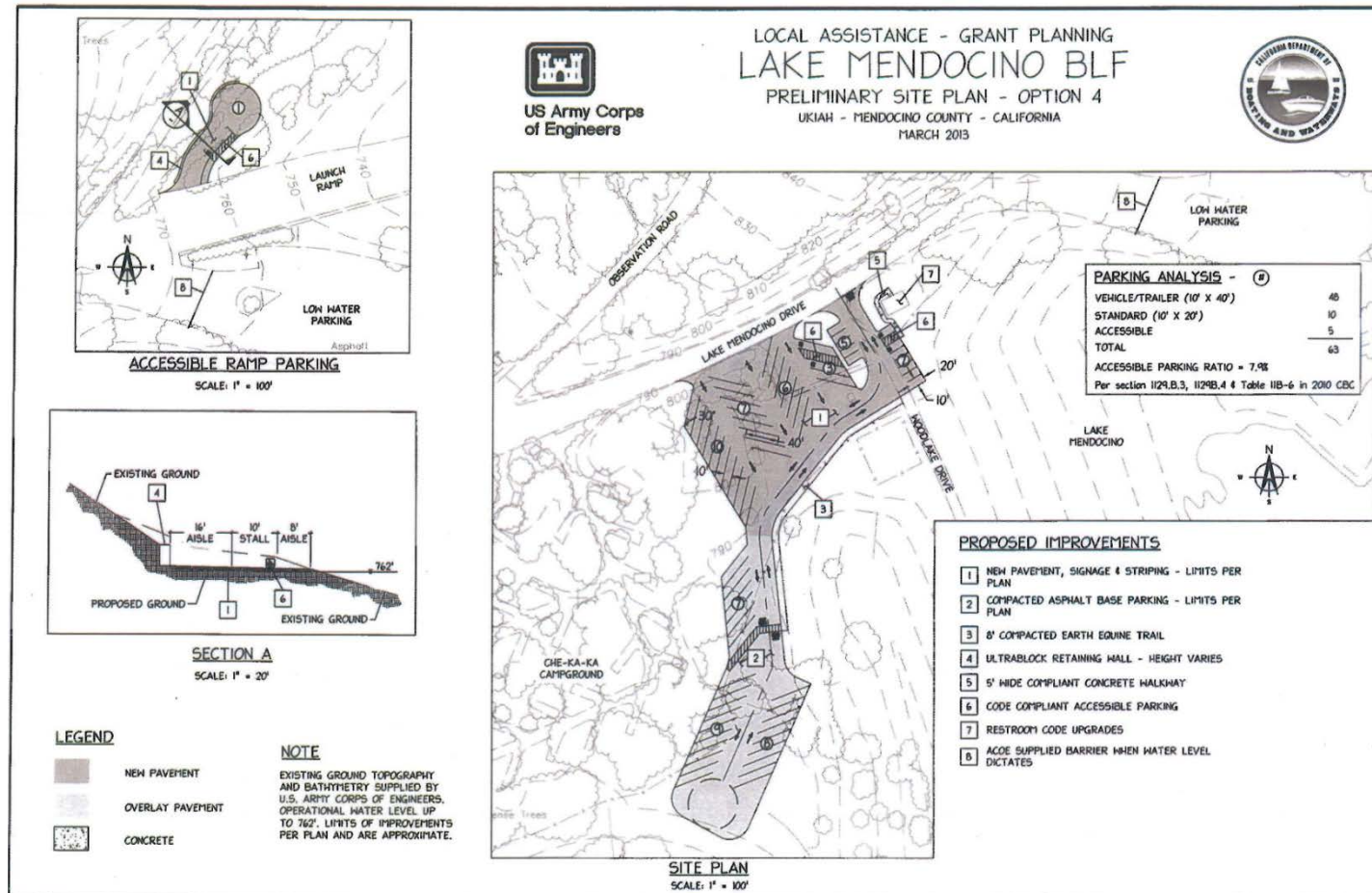
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Grants Received by the Corps

SPN: Lake Mendocino Cal Boating grant of \$1.4 million for parking lot expansion, utility upgrades, accessible walkways, restroom upgrade, and signage.

KEY:
Expanded upon previous grants that were successfully received from Cal Boating and executed by the Corps, totaling \$688k.



Grant Received by a Partner for the Corps

Lake Superior Marine Museum Association for Lake Superior Maritime VC

- Minnesota Lake Superior Coastal Program (matching grant) for exhibits
- Minnesota Historical Society - for long range conservation plan and artifact restoration



Grant Received by a Partner for the Corps

West Point Lake Coalition (WPLC)/West Point Lake buoy light program

- WPLC provides the lights, Corps installs and maintains
- Started as a 3 year pilot project. Success led to grant funding.
- Received 5 year grant funding of \$40,000 from the Callaway Foundation



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Grant Tips

- Make sure the Corps or your partner that is applying for the grant meet the eligibility requirements.
- Research your grantors- know what types of grants they have awarded in the past, typical amounts, what they are interested in.
- Start small to get a track record before you try for a big grant.
- Multiple partners strengthen your chance of funding
- Funders prefer to get applications earlier than the deadline.
- If rejected, it is ok to call and ask for feedback to improve future submittals.



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Writing a Strong Proposal

- Clear purpose statement
- Relevance/benefits to grantor
- Strict conformance to grant requirements, format
- Schedule
- Budget: present entire picture
- Coordination
- Be truthful! Grantor visits are common.

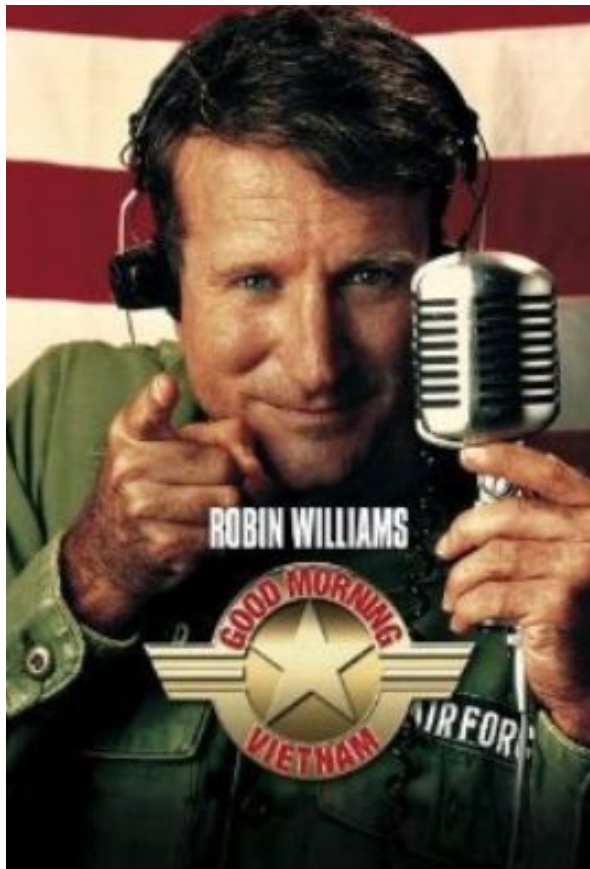


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Writing a Strong Proposal

- Avoid jargon/acronyms!



“Excuse me, sir. Seeing as how the VP is such a VIP, shouldn't we keep the PC on the QT? 'Cause if it leaks to the VC he could end up MIA, and then we'd all be put out in KP”



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Common Elements of a Proposal

- Introduction – brief reiteration
- Partners - Who will accomplish what, and why chosen
- Problem Statement - Why act?
 - Flows from your mission
 - Meeting a need
 - Solving a problem
- Purpose of the Request - Goals/Outcomes that focus on the solution
- Work Plan/Timeline – Give the reason why you chose the steps and the numbers you will serve
- Organizational Capacity – Who will do the work and why qualified

Common Elements of a Proposal

- Evaluation – Product and process
- Sustainability – Who will maintain the program? Can it generate fees?
- Proposal Summary – This may be the 1st (or only) thing read! Include only essential info
- Cover Letter – One page from lead partner or applicant that tells how much and over what period of time
- Program Budget – Full cost of program, direct/indirect costs, in-kind
- Grant Budget – What the grant covers (specific costs)

Common Elements of a Proposal

- Coverage – Research grantor’s rules for overhead. Include indirect costs
- Leverage – Agency and partner support, volunteer support, other grant applications
- Required Attachments – Follow the grantor’s list EXACTLY
- Desired Attachments – Support from partners, endorsements, materials from related efforts



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Follow Through

- Keep the funder informed of progress and completion!
- Document results
- Grant reporting requirements – Meet the deadlines!
- Photos
- Publicity
- Thank You letters with photos – Keep in touch with interesting materials
- Coordination with partners

**Success breeds more success!



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Grants/Alternative Funding Sources

Grants can be obtained from public and non-public sources consistent with the purpose of the granting entity. Cooperative associations and friends groups may apply for grants and apply the grant moneys to work activities on Corps lands. The Corps does not usually apply for grants with other Federal agencies unless the legal authority specifically addresses grants between Federal agencies. The Corps can apply for State, local, and private grants if such action does not involve lobbying Congress, the funds will be used for an authorized mission, and the acceptance is consistent with appropriation law. In practical terms, it is much easier for the partner to apply for the grant and accept the funds, so this is the preferred method. Corps employees may help partners write grant proposals, and may conduct training sessions on grant writing.

A third party entity could include a Friends Group, Cooperating Association, Non-Profit, Foundation or local governments. While the third party would usually initiate the grant application, the Corps would maintain oversight of the Project. See the [Grants Application & Execution Process flow chart](#) from NWP for a good example of the granting process.

The Corps Contribution Program provides the means and processes on how to accept donations plus administer any funds the Corps might receive directly or indirectly from a grant. A Contribution Plan has to exist prior to accepting funding and needs to be consistent with the contents of the Operation Management Plan (OMP).

Helpful Steps to Apply for Grants with a Third Party

1. Identify and plan the project and ensure it is included in the OMP and Contributions Plan. Ensure the project is ready to go including a funding concept with any needed permits, environmental reviews, clearances and cost.
2. Seek a partnership with a non-profit or local government. Explain that the Corps would like to complete a project, but requires assistance that may include fundraising efforts such as seeking grants. Corps staff may be asked by the partner to help locate a potential grant. Corps participation is encouraged, but the non-profit entity would submit the application and be the proponent.
3. Identify potential grant sources: The non-profit may be willing to help or have knowledge of specific grants, but Corps staff could assist with [identifying possible sources](#).
4. Completing the grant application: Most grant application packages have specific guidance which should be followed precisely. If application guidance is more loosely worded, it is advisable for the applicant to call the Grantor and ask for any information and submission advice they may be willing to share.

● [Grants and Funding Available to the Corps](#)

● [News and Current Issues](#)

● [Related Sites/Links](#)

● [Success Stories](#)

● [FAQs](#)

● [Water Safety Grants](#)

Grants/Alternative Funding Sources



Resources

- <http://www.tgci.com/funding.shtml> - grant opportunities/foundations by state
- <http://philanthropy.com> – go to corporate giving
- <http://www.conservationgrants.com/bystate.htm> - Conservation Grants Center, list by State
- <http://foundationcenter.org/findfunders/topfunders/top50giving.html>
- <http://grantspace.org>



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